$\frac{1}{4} \sum_{i,j} \sum_{a,b} t^{ij}_{ab} \hat{a}^{\dagger}_{a} \hat{a}^{\dagger}_{b} \hat{a}_{j} \hat{a}_{i}$

Matrices in Quantum Chemistry

سید محمد اعظمی بهمن ۱۴۰۲

 $\hat{y} = R(\{h_v^T \mid v \in G\}).$













Which atom(s) this orbital belongs to? Left? Middle? **Right?** All?

No orbital for the middle atom at all **!!**







Can we remove those extra green area? YES! Can we construct a true spherical orbital? YES!

Linear vector space

Two-dimensional Cartesian vector:

$$\vec{A} = A_x \vec{i} + A_y \vec{j} = (\vec{i} \quad \vec{j}) \begin{pmatrix} A_x \\ A_y \end{pmatrix}$$

Two-dimensional molecular orbital:

$$\psi = c_1 \Phi_1 + c_2 \Phi_2 = (\Phi_1 \quad \Phi_2) \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$$





Projection Operator

 $|\psi\rangle = \sum |\varphi_k\rangle c_k = \sum |\varphi_k\rangle \langle \varphi_k |\psi\rangle$ $\mathbf{1} \equiv \sum |\varphi_k\rangle \langle \varphi_k|$

 $| \mathbf{O} \rangle = | \mathbf{O} \rangle \langle \mathbf{O} | \mathbf{O} \rangle + | \mathbf{O} \rangle \langle \mathbf{O} | \mathbf{O} \rangle + | \mathbf{O} \rangle \langle \mathbf{O} | \mathbf{O} \rangle + \cdots$

¹³ Change of the base: linear transformation

$$\boldsymbol{\psi} = c_1 \Phi_1 + c_2 \Phi_2 = (\Phi_1 \quad \Phi_2) \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \boldsymbol{\Phi} \mathbf{c}$$

 $\Phi'_1 = T_{11}\Phi_1 + T_{21}\Phi_2$ The new base linearly $\Phi'_2 = T_{12}\Phi_1 + T_{22}\Phi_2$ depends to the old one.

$$(\Phi_1' \quad \Phi_2') = (\Phi_1 \quad \Phi_2) \begin{pmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{pmatrix}$$

 $\Phi' = \Phi T \quad or \quad \Phi = \Phi' T^{-1}$

14 Change of the base: linear transformation

$$\psi = \Phi \mathbf{c} = \Phi' \mathbf{T}^{-1} \mathbf{c}$$

On the other hand, $\psi = \Phi' c'$, therefore: $c' = T^{-1}c$

$$\psi = c_1'\Phi_1' + c_2'\Phi_2'$$

Note that the wave function is not changed, it is just rewritten!

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Why orthonormal base is preferred?

Computational viewpoint:

• Orthogonal bases are mathematically simple to deal with.

Chemical viewpoint:

• Results can be *chemically* interpreted.

Example: two normal vectors

$$\vec{A} = \frac{1}{\sqrt{2}}\vec{i} + \frac{1}{\sqrt{2}}\vec{j}$$
 $(\frac{1}{\sqrt{2}})^2 + (\frac{1}{\sqrt{2}})^2 = 1$

Both i and j unit vectors have equally contributed to the vector, each 1/2.

$$\vec{B} = \frac{1}{\sqrt{3}}\vec{i} + \frac{\sqrt{2}}{\sqrt{3}}\vec{j}$$
 $(\frac{1}{\sqrt{3}})^2 + (\frac{\sqrt{2}}{\sqrt{3}})^2 = 1$

i and j unit vectors have contributed to the vector, 1/3 and 2/3, respectively.

Bonding in hydrogen molecule





1*s*

1*s*





¹⁹ Orthogonalization of two 1*s* orbitals



$$\langle \psi_{1s_a} | \psi_{1s_b} \rangle = 0.6781$$

Profile of the two non-orthogonal 1s orbitals

²⁰ Schmidt vs. symmetric orthogonalization



21 Schmidt vs. symmetric orthogonalization

Schmidt

$$\begin{aligned} \psi'_{1s_a} &= \psi_{1s_a} \\ \psi'_{1s_b} &= -0.9226\psi_{1s_a} + 1.3606\psi_{1s_b} \\ \psi'_{1s_a} &= 1.2672\psi_{1s_a} - 0.4953\psi_{1s_b} \\ \psi'_{1s_b} &= -0.4953\psi_{1s_a} + 1.2672\psi_{1s_b} \end{aligned}$$

22 Symmetric orthogonalization operator (introduced by Löwdin)

- **Φ**: non orthogonal base
- Φ' : orthogonalized base

 $\Phi' = \Phi T_{sym}$ where $T_{sym} = S^{-\frac{1}{2}}$

S^{1¹/₂} is the Taylor expansion of the $f(x) = x^{-\frac{1}{2}}$ function. It can be shown that $\mathbf{S}^{-\frac{1}{2}} = \mathbf{C}\mathbf{s}^{-\frac{1}{2}}\mathbf{C}^{\dagger}$.

23 Schmidt vs. symmetric orthogonalization

$$(\psi_{1s_{a}}' \psi_{1s_{b}}') = (\psi_{1s_{a}} \psi_{1s_{b}}) \begin{pmatrix} 1 & -0.9226 \\ 0 & 1.3606 \end{pmatrix}$$
$$\mathbf{T}_{Schmidt}$$
$$(\psi_{1s_{a}}' \psi_{1s_{b}}') = (\psi_{1s_{a}} \psi_{1s_{b}}) \begin{pmatrix} 1.2672 & -0.4953 \\ -0.4953 & 1.2672 \end{pmatrix}$$
$$\mathbf{T}_{SVM}$$

Presence and absence of external electric field

We remember that $\mathbf{c}' = \mathbf{T}^{-1}\mathbf{c}$

	C	$\mathbf{c}'_{Schmidt} = \mathbf{T}_{Schmidt}^{-1}\mathbf{c}$	$\mathbf{c}'_{sym} = \mathbf{T}_{sym}^{-1}\mathbf{c}$
Field free Electric field	$\begin{pmatrix} 0.5459 \\ 0.5459 \end{pmatrix}$	$\begin{pmatrix} 0.9160 \\ 0.4012 \end{pmatrix}$	$\begin{pmatrix} 0.7072\\ 0.7072 \end{pmatrix}$
	(0.4465)	(0.8817)	(0.6496)
	(0.6419)	(0.4718)	(0.7604)

25 Decomposition into unperturbed states

$$(\psi_{\sigma} \quad \psi_{\sigma^*}) = (\psi_{1s_a} \quad \psi_{1s_b}) \begin{pmatrix} 0.5459 & 1.2463 \\ 0.5459 & -1.2463 \end{pmatrix}$$

c c'_{CMO} = **T**⁻¹_{CMO}**c T**_{CMO}**C**
Field free $\begin{pmatrix} 0.5459 \\ 0.5459 \end{pmatrix}$ $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$
Electric field $\begin{pmatrix} 0.4465 \\ 0.6419 \end{pmatrix}$ $\begin{pmatrix} 0.9969 \\ -0.0784 \end{pmatrix}$

Transformation of density matrix Example: hydrogen molecule



Example: Density matrix of hydrogen molecule in the external field





Tight-Binding approximation

The interaction between nearest neighbors is large and decrease quickly with the distance

Atomic sites



Nearest-Neighbors (N-N)

One has to choose the extension of the interactions between neighbors

first nearest-neighbors (1N-N) or third nearest-neighbors (3N-N)

In general

3rd neighbors 2nd neighbors 1st neighbors



















$$(1s_a \quad 1s_b) = (\sigma \quad \sigma^*) \mathbf{U}$$

Any U is acceptable! The ONLY requirement is that it must be unitary.

How to define U?

- 1- Chemical interpretation
- 2- Physical imposition
- **3-** Mathematical restriction



Find U so that the resultant orbitals provide eigenfunctions of certain symmetry operator.



Equivalent orbitals



Electron density

$$\rho = \int \Psi^* \Psi d\mathbf{r}_2 \dots d\mathbf{r}_N$$

For closed-shell single determinantal wave function:



Electron density

For many determinantal wave function, it can be shown that:

$$\rho = \sum_{k=1}^{basis} n_k \theta_k \theta_k^* = \sum_{k=1}^{basis} n_k |\theta_k|^2$$

 θ_k is called Natural Orbital. n_k is called Occupancy.





Comparison of electron density of interacting and non-interacting systems

$$\rho_{0} = |\psi_{1s_{a}}|^{2} + |\psi_{1s_{b}}|^{2} \text{ non-interacting}$$

$$\psi_{\sigma} = N(\psi_{1s_{a}} + \psi_{1s_{b}}) \text{ interacting}$$

$$\rho_{\sigma} = |\psi_{\sigma}|^{2} = 2N^{2}(\psi_{1s_{a}}^{2} + \psi_{1s_{b}}^{2} + \psi_{1s_{a}}\psi_{1s_{b}})$$
Responsible for the interaction ''

Density matrix representation



 $\rho = P_{11}\Phi_1^*\Phi_1 + P_{12}\Phi_1^*\Phi_2 + P_{21}\Phi_2^*\Phi_1 + P_{22}\Phi_2^*\Phi_2$

Comparison of density matrices of interacting and non-interacting systems

$$\mathbf{P}_{0} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \qquad \text{non-interacting}$$
$$\mathbf{P}_{\sigma} = \begin{pmatrix} 2N^{2} & 2N^{2} \\ 2N^{2} & 2N^{2} \end{pmatrix} \qquad \text{interacting}$$

In matrix representation, the off-diagonal elements are responsible for the interaction.

Actual system: hydrogen molecule





Wiberg bond index

Wiberg bond index, simply adds up squares of density matrix off-diagonal elements:

$$W_{AB} = \sum_{i \in A} \sum_{j \in B} |P_{ij}|^2$$

Wiberg (H2): $P_{12}^2 + P_{21}^2 = 0.71$ $P_{\sigma} = \begin{pmatrix} 0.596 & 0.596 \\ 0.596 & 0.596 \end{pmatrix}$



Natural Orbitals

It can be shown that **b** is eigenvector of the density matrix, whose eigenvalue is its occupancy.

$$\mathbf{P}\mathbf{b}_k = n_k \mathbf{b}_k$$

Matrix representation of

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natural orbitals





53 Natural Orbitals with overlap taken into consideration

PSB = Bn

Where S is the overlap matrix.

In practice, to diagonalize a matrix using standard mathematical softwares, P can be diagonalized in some orthonormal basis.

Density Matrix Blocks



F and P

For single determinantal wave functions, F commute with P; which means that they share the same eigenvectors.

BUT, be careful with degenerate ones!

<u>0CC.</u>		<u>energy</u>	
2	χ_1	-7.8	
2	χ_2	-4.6	
2	χ_3	-4.6	
•	• •	:	
0	χ_n	9.8	

Example: hydrogen molecule

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$$\psi_{\sigma} = 0.54586 \ \psi_{1s_a} + 0.54586 \ \psi_{1s_b}$$

$$0.54586^{2} + 0.54586^{2} \neq 1$$

Although the base is not orthogonal, the symmetry implies equal contribution from the two atoms.

Decomposition of CMOs into localized orbitals and vice versa

To study certain cases e.g., chemical reactivity, it would be more helpful to understand how the localized orbitals construct HOMO or LUMO.

On the other hand, one might be interested to know components of some localized orbitals.

Question: Is HOMO the lone pair?





Elements are *functions* !

Elements are scalars !



Localized orbitals are not necessarily orthogonal !

$$\mathbf{S}_{ij}^{\{\theta\}} = \langle \theta_i | \theta_j \rangle \text{ and } \boldsymbol{\theta} = \boldsymbol{\Phi} \mathbf{C}_{LMO}$$

$$\boldsymbol{\theta}' = \boldsymbol{\theta} \mathbf{S}^{\{\theta\}^{-\frac{1}{2}}} = \boldsymbol{\Phi} \mathbf{C}_{LMO} \mathbf{S}^{\{\theta\}^{-\frac{1}{2}}}$$

$$= \boldsymbol{0} \mathbf{C}_{LMO}^{-1} \mathbf{C}_{CMO} = \boldsymbol{\theta} \mathbf{T}_{LMO \to CMO}$$

$$\boldsymbol{\chi} = \boldsymbol{\theta}' (\mathbf{C}_{LMO} \mathbf{S}^{\{\theta\}^{-\frac{1}{2}}})^{-1} \mathbf{C}_{CMO} = \boldsymbol{\theta}' \mathbf{T}'_{LMO \to CMO}$$

$$\mathbf{T}'_{LMO \to CMO} = (\mathbf{C}_{LMO} \mathbf{S}^{\{\theta\}^{-\frac{1}{2}}})^{-1} \mathbf{C}_{CMO}$$



H2-H2 interaction as vdW complex: 62 comp.out - Notepad <u>File Edit Format View</u> <u>H</u>elp MOS in the AO basis: ~ 2 3 AO 1 4 1. 2. 3. 0.3895 - 0.8873(s) 0.3811 0.8974 1 Η H 2 (S) H 3 (S) 0.3825 0.3874 0.8930 - 0.89210.3825 -0.3874 -0.8930 -0.8921 4. н 4 (s)0.3811 - 0.38950.8873 0.8974 <

Visual decomposition of complex' CMOs into fragments' CMOs





Decomposition of complex' CMOs into fragments' CMOs

 $1s_{2} \quad 1s_{3} \quad 1s_{4} \end{pmatrix} \begin{pmatrix} 0.3811 & 0.3895 & -0.8873 & 0.8974 \\ 0.3825 & 0.3874 & 0.8930 & -0.8921 \\ 0.3825 & -0.3874 & -0.8930 & -0.8921 \\ 0.3811 & -0.3895 & 0.8873 & 0.8974 \end{pmatrix}$

$$\chi_{frag1} = \Phi_{frag1} C_{frag1} =$$
(1s, 1s₂)
$$\begin{pmatrix} 0.5459 & 1.2463 \\ 0.5459 & -1.2463 \end{pmatrix}$$

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 $\chi_{comp} = \Phi C_{comp} =$

$$\chi_{frag2} = \Phi_{frag2} C_{frag2} = (1s_1 \ 1s_2) \begin{pmatrix} 0.5459 \ 1.2463 \\ 0.5459 \ -1.2463 \end{pmatrix}$$







f desired, fragments' orbitals can be orthogonalized with the same procedure as localized orbitals.

Are off-diagonal elements of density matrix "physical"?

Matrix representation of first-order density

$$\rho = \int \Psi^* \Psi d\mathbf{r}_2 \dots d\mathbf{r}_N$$

$$\rho = (\Phi_1 \quad \cdots \quad \Phi_n) \begin{pmatrix} P_{11} & \cdots & P_{1n} \\ \vdots & \ddots & \vdots \\ P_{n1} & \cdots & P_{nn} \end{pmatrix} \begin{pmatrix} \Phi_1^* \\ \vdots \\ \Phi_n^* \end{pmatrix}$$

Matrix representation of time-independent Schrodinger equation HC=SCE

