Self-Consistent Field (SCF)





3 Schrödinger equation: Is that ALL?

No !!!

Boundary conditions limit the solutions. Electrons are Fermions!

4 **Determinantal wave function**

$$\psi = \frac{1}{\sqrt{N!}} \begin{vmatrix} \varphi_1(1) & \varphi_2(1) & \cdots & \varphi_N(1) \\ \varphi_1(2) & \varphi_2(2) & \cdots & \varphi_N(2) \\ \vdots & \vdots & \ddots & \vdots \\ \varphi_1(N) & \varphi_2(N) & \cdots & \varphi_N(N) \end{vmatrix}$$



 $\psi = \frac{1}{\sqrt{2}} \begin{vmatrix} 1s(1) & \overline{1s(1)} \\ 1s(2) & \overline{1s(2)} \end{vmatrix}$

 $\psi \equiv |1s \quad \overline{1s}|$

Why single determinant?

Simple description of electronic wave function
 Simple for computational purposes
 Simple for mathematical transformations
 Simple to extend to correlated methods

Orbitals as single particle wave functions



Orbitals as single particle wave functions

8

Single particle properties are sum over the molecular orbitals. (Slater-Condon rules)

It significantly provides *live* picture of the molecular orbitals.

How to form orbitals ?

9

Solution of Schrödinger equation (impractical)
 Plane wave

Linear combination of atomic orbitals

Linear Combination of Atomic Orbitals (LCAO)

One can think of molecular orbitals as summation over atomic orbitals:

 $\psi_j = \sum c_{ij} \varphi_i$

How LCAO coefficients can be chosen?

11

Symmetry considerations
 Experimental observations
 Solution of Schrödinger equation
 Energy minimization

Can LCAO provide a solution to the Time-independent Schrödinger equation?

Never !!!

Instead, it can approximates the exact solutions.



Variation principle

NATURE, choose the best way:

No trial wave function's energy is below the exact solution of Schrödinger equation.

It tells us something: Energy is special !



Variation technique

If the energy is upper bound, one may minimize the energy to approach to exact solution.

$$\Psi = f(x, y, z; c_1, c_2, \cdots, c_n)$$

$$\left(\frac{\partial E}{\partial c_k}\right)_{c_{i\neq k}} = 0$$

Linear variation

The coefficients can be chosen to form a linear combination:

$$\Psi = c_1 f_1 + c_2 f_2 + \cdots$$

Remember the LCAO ! $\psi_j = \sum_i c_{ij} \varphi_i$

¹⁶ Can linear variation help to find the LCAO coefficients ?

No !!!

Electronic wave function is not linear with respect to molecular orbitals. Because it must be antisymmetric:

 $\Psi = f(1)g(2) - g(1)f(2)$

17 Minimization of determinantal energy

To find approximate solution, one may minimize the energy of determinant. It is Hartree-Fock (HF) method. Does it have other names?

18 Different terms, pointing to the same concept

Self Consistent Field (SCF) approximation Hartree-Fock (HF) method Roothan equations

Different terms, pointing to the same concept

SCF: Physical picture
HF: Mathematical picture
Roothan: Matrix representation



21 Restricted closed-shell Hartree Fock

Electronic wave function is

 $\Psi = |\psi_1 \overline{\psi}_1 \psi_2 \overline{\psi}_2 \cdots \psi_{\frac{N}{2}} \overline{\psi}_{\frac{N}{2}}|$

where,

 $\psi_j = \sum_i c_{ij} \varphi_i$

Energy is defined as

 $E = \langle \Psi \mid \hat{H} \mid \Psi \rangle$

Wish to find coefficients so that

 $\begin{aligned} & (\frac{\partial E}{\partial c_{ij}})_{c_{\mu\nu}\neq c_{ij}} = 0 \\ & \langle \Psi \mid \Psi \rangle = 1 \end{aligned}$

Subject to

22 Canonical Hartree Fock equations

The energetic minimization reduces to an eigenvalue problem, called as Canonical Hartree-Fock equations:

Fock operator

Orbital energy

Canonical Molecular Orbital

 $\hat{F}\psi_{a} = \varepsilon_{a}\psi_{a}$

(CMO)



Hartree Fock operator

Restricted closed-shell Hartree Fock operator is defined as:

Core Hamiltonian operator

Fock operator

Coulomb operator

 $\hat{F} = \hat{H}_{core} + \sum_{a} (2\hat{J}_{a} - \hat{K}_{a})$

a

Exchange operator

24 Coulomb and Exchange operators

Coulomb operator:

$$\hat{J}_{b}(1)\psi_{a}(1) = \left[\int d\tau_{2}\psi_{b}^{*}(2)\psi_{b}(2)\frac{1}{r_{12}}\right]\psi_{a}(1)$$

Exchange operator:

$$\hat{K}_{b}(1)\psi_{a}(1) = \left[\int d\tau_{2}\psi_{b}^{*}(2)\psi_{a}(2)\frac{1}{r_{12}}\right]\psi_{b}(1)$$

Note the difference!





Hartree-Fock procedure (Quick glance)

Flowchart of iterative process to solve Hartree-Fock equations:



Roothaan equations

Roothaan equations are matrix representation of Hartree-Fock equations, i.e., the CMOs are considered as superposition over *base* elements or *basis set*. In general, base elements are atomic orbitals (LCAO).

Basis set does not necessarily provides an orthogonal set.

$$\psi_{a} | \psi_{b} \rangle \neq \delta_{ab}$$



Matrix elements

Overlap matrix:

 $\mathbf{S}_{\mu\nu} = \int \psi_{\mu}^*(1) \psi_{\nu}(1) d\mathbf{r}_1$

Fock matrix:

$$\mathbf{F}_{\mu\nu} = \int \psi_{\mu}^{*}(1) \hat{F} \psi_{\nu}(1) d\mathbf{r}_{1}$$
$$\mathbf{F}_{\mu\nu} = \mathbf{H}_{\mu\nu}^{core} + \mathbf{G}_{\mu\nu}$$
$$\mathbf{G}_{\mu\nu} = \sum_{\lambda\sigma} P_{\lambda\sigma} [(\mu\nu \mid \sigma\lambda) - \frac{1}{2}(\mu\lambda \mid \sigma\nu)]$$



Matrix elements

Density matrix:

$$\mathbf{P}_{\mu\nu} = 2\sum_{a}^{\frac{N}{2}} \mathbf{C}_{\mu a} \mathbf{C}_{\nu a}^{*}$$

Electron repulsion integral (ERI):

$$(ab | cd) = \int \varphi_a^*(1)\varphi_b(1) \frac{1}{r_{12}} \varphi_c^*(2)\varphi_d(2) d\mathbf{r}_1 d\mathbf{r}_2$$

Coulomb and Exchange matrix elements

Coulomb operator:

$$\mathbf{J}_{ab} = \int \psi_a^*(1)\psi_a(1) \frac{1}{r_{12}}\psi_b^*(2)\psi_b(2)d\tau_1 d\tau_2$$

Exchange operator:

$$\mathbf{K}_{ab} = \int \psi_a^*(1) \psi_b(1) \frac{1}{r_{12}} \psi_b^*(2) \psi_a(2) d\tau_1 d\tau_2$$

Note the difference!

31 Interpretation of Coulomb term



is defined as classical repulsion between orbitals *a* and *b*.

32 Interpretation of Exchange term

 $\mathbf{J}_{ab} = \int \psi_{a}^{*}(1)\psi_{a}(1) \frac{1}{r_{12}}\psi_{b}^{*}(2)\psi_{b}(2)d\tau_{1}d\tau_{2}$ $= \int \rho_a(1) \frac{1}{r_{12}} \rho_b(2) d\mathbf{r}_1 d\mathbf{r}_2$ $\mathbf{K}_{ab} = \int \psi_{a}^{*}(1)\psi_{b}(1) \frac{1}{r_{12}}\psi_{b}^{*}(2)\psi_{a}(2)d\tau_{1}d\tau_{2}$

No classical interpretation for Exchange !!



34 How many Electron repulsion integral are required?

If the base is composed of n elements, each matrix will have $n \times n$ dimensions.





Fullerene at HF/6-31+G* level contains 1140 basis elements.

1,688,960,160,000 ERIs should be computed !



Handling Electron repulsion integrals

>Indirect:

Optimum for too slow CPUs

All ERIs are computed once and stored on physical disk. Restored when needed.

>In Core:

Optimum for very small basis sets

All ERIs are computed once and stored on physical memory. Restored when needed.

>Direct (On the Fly):

ERI is computed when needed.

Optimum for modern computers

Resources are limited !



No computer can evaluate faster than 1,000,000 ERI per second. Who can wait 469 hours for C60 ?

,688,960,160,000

Strategies can be employed to decrease the number of ERI computations:

ERI symmetry

- Loop over ERIs, rather Fock matrix elements
- Density matrix inspection
- Cauchy-Schwarz inequality
- > Orbital rotation
- Sharing common exponent

ERI symmetry

 $(ab | cd) = \int \varphi_a^*(1)\varphi_b(1) \frac{1}{r_{12}} \varphi_c^*(2)\varphi_d(2)d\mathbf{r}_1 d\mathbf{r}_2$ For real basis sets, all eight ERIs are equal: (ab | cd) = (ba | cd) = (ab | dc) = (ba | dc)= (cd | ab) = (cd | ba) = (dc | ab) = (dc | ba)

How can it help when using DIRECT method?

Equivalent ERIs

$$\begin{aligned} \mathbf{F}_{\mu\nu} &= \mathbf{H}_{\mu\nu}^{core} + \mathbf{G}_{\mu\nu} \\ \mathbf{G}_{\mu\nu} &= \sum_{\lambda\sigma} P_{\lambda\sigma} [(\mu\nu \mid \sigma\lambda) - \frac{1}{2}(\mu\lambda \mid \sigma\nu)] \\ \mathbf{G}_{11} &= \sum_{\lambda\sigma} P_{\lambda\sigma} [(11\mid \sigma\lambda) - \frac{1}{2}(1\lambda \mid \sigma1)] \\ &= P_{11} [(11\mid 11) - \frac{1}{2}(11\mid 11)] + P_{12} [(11\mid 12) - \frac{1}{2}(11\mid 21)] \\ &+ P_{21} [(11\mid 21) - \frac{1}{2}(12\mid 11)] + P_{22} [(11\mid 22) - \frac{1}{2}(12\mid 21)] \end{aligned}$$

And so on for G_{12} , ...



Loop over G is wrong Loop over ERI is correct

 \mathbf{G}_{11} , then \mathbf{G}_{12} , then \mathbf{G}_{21} , then \mathbf{G}_{22}

Inefficient, as each step requires 8 ERI computation

(11|11), then (11|12), then (11|22), then (12|12), then (22|21), then (22|22)

At each step, distribute the ERI to G elements



Density matrix inspection

$$\mathbf{G}_{11} = \sum_{\lambda\sigma} P_{\lambda\sigma} [(11|\sigma\lambda) - \frac{1}{2}(1\lambda|\sigma1)]$$

= $P_{11}[(11|11) - \frac{1}{2}(11|11)] + P_{12}[(11|12) - \frac{1}{2}(11|21)]$
+ $P_{21}[(11|21) - \frac{1}{2}(12|11)] + P_{22}[(11|22) - \frac{1}{2}(12|21)]$

If one or more of these elements are (almost) zero, why the ERIs should be computed?

Density matrix inspection

Example: Ni₂ density matrix optimized at HF/STO-3G level

Microsoft Excel - Book1															
: 🛯	📳 File Edit View Insert Format Tools Data Window Help Adobe PDF										8×				
ED	💕 🛃 🖻		📇 🚽 🔊	- 🧕 Σ	- <u>2</u> ↓ ∑		🙄 🕴 Arial		- 10 -	BIU		≣ •a• \$	%	- 🖑 -	<u>A</u> - "
			£.												
	Δ	B	- C	D	F	F	G	Н			ĸ	1	М	N	0 =
1	2.39688		~		-						15	-	111	- 14	<u> </u>
2	-1.02986	2.68228												F	
3	0	0	2.25081												=
4	0	0	0	2.25081											
5	0.00012	0.00015	0	0	2.25013										
6	0.27768	-0.86295	0	0	0.00485	2.36877									
7	0	0	-0.7473	0	0	0	2.22783								
8	0	0	0	-0.7473	0	0	0	2.22783							
9	-0.00043	-0.00013	0	0	-0.74556	-0.01454	0	0	2.22357						
10	-0.03785	0.13465	0	0	0.0307	-0.24109	0	0	-0.08153	2.89905					
11	0	0	-0.02016	0	0	0	0.03169	0	0	0	0.29646				
12	0	0	0	-0.02016	0	0	0	0.03169	0	0	0	0.29646			
13	-0.00291	-0.00072	0	0	-0.0403	-0.10795	0	0	0.08221	-0.63961	0	0	0.90259		
14	-0.00146	-0.00942	U	U	0.00/4/	-0.10973	U	U	-0.01926	-0.89684	U	U	0.69506	1.80422	1.010
15	U	U	-0.02616	0.00040	U	U	0.08494	U	U	U	-0.234/6	U	U	U	1.610
16	U 0	U	U	-0.02616	U	U	0	0.08494	U	U	U	-0.23476	U	0	
17	U 0	U 0	U	U	U	U	U	U	U	U	U	U	U	U	
10		0 00072	0	0	0	0.00102	0	0		0 00066	0	0		0.001.40	
13	-0.00029	0.00073	U 0	0	-0.00032	-0.00403	0	0	0.000009	-0.00086	0 0	0	-0.00304	0.00140	
20	-0.00073 N	0.00130	0.00215	0	-0.00357 N	-0.01240 N	0 aeann n-	0	0.01233	-0.02004 N	0.03057	0	-0.01505 N	0.0077	-0.0497
22	0	0	0.00210	0.00215	0	0	0.00000	-0.00696	0	0	0.00007 N	0.03057	0	0	0.0407
23	-0.00092	0.00357	0	0.00210	0.00343	-0.00463	0	0.00000	-0.0104	0.08883	0	0.00001	-0.00037	0.03358	
24	0.00002	-0.01248	n	n	53100 0	0.03874	0	0	-0.02303	_0 17851	n	, n	0.18966	n n9972	×
_H 4	I I Image: Sheet1 / Sheet2 / Sheet3 / Image: Sheet3 / Image: Sheet3 /														

Cauchy-Schwarz inequality

$(\vec{A} \cdot \vec{B})^2 \leq (\vec{A} \cdot \vec{A})(\vec{B} \cdot \vec{B})$

Square of inner product of two vectors is equal or less than product of their self inner product.

It can be generalized to ERIs:

 $(ab | cd) \leq \sqrt{(ab | ab)(cd | cd)}$

Integral prescreening

Form g matrix, whose elements are $\mathbf{g}_{ij} = (ij \mid ij)$

$$(ab \mid cd) \leq \sqrt{\mathbf{g}_{ab}\mathbf{g}_{cd}}$$

If $\mathbf{g}_{ab}\mathbf{g}_{cd}$ is less than a threshold, e.g., 10⁻¹⁰, why should one concern with (ab | cd)?

Good News !

Because of the use of cutoffs, the cost of direct SCF scales with molecular size as $n^{2.7}$ or better, while conventional SCF scales in practice as $n^{3.5}$, where *n* is the number of basis elements.

H. B. Schlegel and M. J. Frisch, in Theoretical and Computational Models for Organic Chemistry.

S.F. Boys, Proc. R. Soc. London Ser. A, 200, 542 (1950)

Electronic wave functions

I. A general method of calculation for the stationary states of any molecular system

By S. F. Boys, Theoretical Chemistry Department, University of Cambridge*

(Communicated by Sir Alfred Egerton, F.R.S.—Received 31 August 1949)

This communication deals with the general theory of obtaining numerical electronic wave functions for the stationary states of atoms and molecules. It is shown that by taking Gaussian functions, and functions derived from these by differentiation with respect to the parameters, complete systems of functions can be constructed appropriate to any molecular problem, and that all the necessary integrals can be explicitly evaluated. These can be used in connexion with the molecular orbital method, or localized bond method, or the general method of treating linear combinations of many Slater determinants by the variational procedure. This general method of obtaining a sequence of solutions converging to the accurate solution is examined. It is shown that the only obstacle to the evaluation of wave functions of any required degree of accuracy is the labour of computation. A modification of the general method applicable to atoms is discussed and considered to be extremely practicable.

1. INTRODUCTION

In this communication is described the first of a series of investigations undertaken with the general aim of developing better methods of evaluating electronic wave functions and of using these to obtain new and more accurate data on atomic and molecular structure. It is well known that if the electronic stationary state wave functions can be evaluated for the various configurations of a system of atomic nuclei, then most of the spectral, chemical and physical properties of the corresponding system of atoms can be calculated. This is true when the atoms form a stable molecule, or when the system consists of a single atom, or when the system corresponds to an unstable configuration of atoms such as occur in the intermediate stages of a chemical reaction. Hence this general problem includes in principle a large number of the problems of theoretical chemistry, and a converging method of solution would effectively solve these problems.

The first purpose of this communication is to describe such a method of successive approximation by which this stationary state electronic wave function for any configuration of atoms can be calculated to any desired degree of accuracy by inclusion of sufficient terms. This method does not depend on any numerical integration processes. Such a method has not been previously reported. The new mathematical analysis which has been carried out to make this possible consists essentially of the evaluation of the Schrödinger integrals between Gaussian probability functions. The most complicated integral which is required is that of the electronic interaction between one product of two Gaussians on different centres with another product of two other Gaussians. These integrals and the simpler ones required are all evaluated explicitly. These integrals also provide the bases for the

* Formerly I.C.I. Research Fellow, Imperial College, London.



Contracted orbitals



 $\phi_{1s}^{\text{CGF}}(\zeta = 1.24, \text{STO-3G}) = 0.444635 \phi_{1s}^{\text{GF}}(0.168856) + 0.535328 \phi_{1s}^{\text{GF}}(0.623913) + 0.154329 \phi_{1s}^{\text{GF}}(3.42525)$

Contraction coefficients

ERI evaluation over 1s GTOs 50 $(\mathbf{0}_{A}\mathbf{0}_{B} \mid \mathbf{0}_{C}\mathbf{0}_{D}) = (\mathbf{0}_{A} \mid |\mathbf{0}_{B})(\mathbf{0}_{C} \mid |\mathbf{0}_{B}) \frac{2}{\pi^{\frac{1}{2}}} \int_{0}^{+\infty} \left(\frac{\rho}{\rho + u^{2}}\right)^{\frac{3}{2}} e^{-\frac{\rho u^{2}}{\rho + u^{2}}(\mathbf{P} - \mathbf{Q})^{2}} du$ 1s GTOs Overlap Coverlap can be transformed to $F_m(x) = \int t^{2m} e^{-xt^2} dt$ integral centered at A, B, C, D (Incomplete Gamma Function) where, $\mathbf{P} = \frac{\zeta_A \mathbf{A} + \zeta_B \mathbf{B}}{\zeta_A + \zeta_B} \quad \mathbf{Q} = \frac{\zeta_C \mathbf{C} + \zeta_D \mathbf{D}}{\zeta_C + \zeta_D} \quad \rho = \frac{(\zeta_A + \zeta_B)(\zeta_C + \zeta_D)}{\zeta_A + \zeta_B + \zeta_C + \zeta_D}$



Using table of ERIs

One can formulate ERIs with all possible angular momenta before implementation into the code.

The number of possible ERIs for *d* orbitals are significantly large!

 $(ss, ss)^{(0)} = (\zeta + \eta)^{-1/2} K(\zeta_a, \zeta_b, \mathbf{A}, \mathbf{B}) K(\zeta_c, \zeta_d, \mathbf{C}, \mathbf{D}) F_0(T)$ $(p_i s, ss)^{(0)} = (P_i - A_i)(ss, ss)^{(0)} + (W_i - P_i)(ss, ss)^{(1)}$ $(p_i s, p_k s)^{(0)} = (Q_k - C_k) (p_i s, ss)^{(0)} + (W_k - Q_k) (p_i s, ss)^{(1)}$ $+\frac{\delta_{ik}}{2(\ell+n)}(ss,ss)^{(1)}$ $(p_i p_j, ss)^{(0)} = (P_j - B_j)(p_i s, ss)^{(0)} + (W_j - P_j)(p_i s, ss)^{(1)}$ $+\frac{\delta_{ij}}{2\xi}\{(ss, ss)^{(0)}-\frac{\rho}{\xi}(ss, ss)^{(1)}\}$ $(p_i p_{ij} p_k s)^{(0)} = (Q_k - C_k) (p_i p_j, ss)^{(0)} + (W_k - Q_k) (p_j p_j, ss)^{(1)}$ $+\frac{1}{2(\ell+n)} \{\delta_{ik} (sp_j, ss)^{(1)} + \delta_{jk} (p_i s, ss)^{(1)}\}$ $(p_i p_i, p_k p_l)^{(0)} = (Q_l - D_l)(p_i p_i, p_k s)^{(0)} + (W_l - Q_l)(p_i p_i, p_k s)^{(1)}$ + $\frac{1}{2(\ell+n)}$ { $\delta_{ii}(sp_j, p_k s)^{(i)}$ + $\delta_{ji}(p_i s, p_k s)^{(i)}$ }

Sharing common exponents

Have you ever encountered *sp* shells? It makes ERI computations more efficient.



SCF techniques

- Intrinsic convergence
- > Oscillation damping
- Interpolation of the density matrix
- > direct inversion of the iterative subspace
- > Quadratic convergence



SCF Energy

Electronic energy can be simply expressed in terms of core Hamiltonian, Coulomb and exchange terms.

Core Hamiltonian: all electrons Coulomb: between all electrons Exchange: between the same spin electrons

$$E = 2h_{11} + h_{22} + J_{11} + 2J_{12} - K_{12}$$





SCF Energy

Literature: HF method does not include non-classical terms for electron-electron repulsion energy, i.e., opposite spin electrons are not correlated within HF energy.

Correlated methods includes the correlation for both opposite and same spin electrons.

$$E = 2h_{11} + h_{22} + J_{11} + 2J_{12} - K_{12}$$



Example: Hydrogen molecule at Restricted Hartree-Fock (RHF) level

Hydrogen molecule is the simplest diatomic system with non-zero electron correlation energy.

Anti-Bonding orbital

Bonding orbital



Example: Hydrogen molecule

At large distances, it is expected that $E_{H_2} = 2E_H$ Let's examine SCF energy of H2 at large distances:

$$h_{\sigma\sigma} = h_{1s1s}$$

$$J_{\sigma\sigma} = \frac{1}{2} J_{1s1s} = \frac{1}{2} (1s1s | 1s1s)$$

$$h_{\sigma\sigma} = E_{H_2} - 2E_H = \frac{1}{2} (1s1s | 1s1s) \neq 0$$

Example: Hydrogen molecule

Relative error of energy at large distances is significant:

$$2E_{H} = -0.9332 \ a.u.$$

 $\frac{1}{2}(1s1s | 1s1s) = 0.3873 \ a.u.$
Large error !!

How about the error at equilibrium geometry?

4% error for bond length



60 Example: Unrestricted hydrogen molecule



$$\Psi = |\psi_a \psi_b|$$

Unrestricted Hartree-Fock (UHF) potential energy curve for hydrogen molecule predicts better energies than RHF at large distances

Energy is correct, but wave function not! It is not a pure singlet spin state.

 $\hat{S}^2 \Psi \neq \lambda \Psi$

Example: SCF bond length of some ten electron molecule

It seems that SCF well predicts the bond lengths!

Basis set	CH4	NH3	H2O	FH
STO-3G	2.047	1.952	1.871	1.807
4-31G	2.043	1.873	1.797	1.742
Near-HF-Limit	2.048	1.890	1.776	1.696
Experiment	2.050	1.912	1.809	1.733



Is Slater determinant the right wavefunction?

